

RECOMMENDATION TRACKING REPORT Q1 2022/23 - REC'S NOT IMPLEMENTED

| Audit Title | Date Final Issued | Date of Follow up | Assurance Rating | Recommendations Not Implemented | | | | |
|------------------------|-------------------|-------------------|------------------|---------------------------------|-------------|---|--|---|
| | | | | Report Ref | Risk Rating | Agreed Imp. Date | Recommendation | Reason / Comments |
| Supporting People Team | 22/10/21 | 31/05/22 | Substantial | 2.3.1a | LR | Mar-22 | It should be ensured that supporting documents are available for all clients. (Previous audit recommendation) | Meeting shortly to determine if Assessments need to continue. To be completed by March 2022. No further assessments are now being completed as funding for the complete service has been agreed 31.05.22 |
| | | | | 2.3.1b | LR | Mar-22 | It should be ensured that Illy records are accurate as per the outcome of the relevant Financial Assessment. (Previous audit recommendation) | Meeting shortly to determine if Assessments need to continue. To be completed by March 2022. No further assessments are now being completed as funding for the complete service has been agreed 31.05.22 |
| | | | | 2.3.1c | GP | Mar-22 | The Financial Assessments should be undertaken in a timely manner as per the schedule within the Guidance for SPF. | Meeting shortly to determine if Assessments need to continue. To be completed by March 2022. No further assessments are now being completed as funding for the complete service has been agreed 31.05.22 |
| | | | | 2.3.1d | GP | Oct-21 | It should be ensured that the Financial assessments are dated in order to identify which year it relates to. (Previous audit recommendation) | To be completed by 31st October 2021. No further assessments are now being completed as funding for the complete service has been agreed 31.05.22 |
| Waste Enforcement | 16/03/22 | 16/06/22 | High | 2.2.3 | GP | Underway Mar 2022 Civica CX pending implementation | The system to be updated to support a direct interface between FLARE and Oracle / CIVICA. (Previous Audit Recommendation) | Civica CX had been purchased and process was underway in March 2022, implementation depends on Civica full implementation. It was confirmed that the outstanding issue regarding CX and Civica APP (FLARE) is still ongoing and as a corporate driven action Team have no control over the implementation date. Also the relevant and necessary supporting administrative/back office support process maps are loaded onto the CX system with a view to being ready to go, if implementation goes ahead. |

Key

HR - High Risk. **MR** - Medium Risk. **LR** - Low Risk. **GP** - Good Practice.